



Attorney Docket No. 0756-7215

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Toru TAKAYAMA et al.

Serial No. 10/697,941

Filed: October 31, 2003

For: SEMICONDUCTOR DEVICE AND
MANUFACTURING METHOD
THEREOF

) Group Art Unit:

) Examiner:

) CERTIFICATE OF MAILING

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INFORMATION DISCLOSURE STATEMENT

Honorable Commissioner of Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

In accordance with the provisions of 37 C.F.R. 1.56 and 37 C.F.R. 1.97-1.99, Applicant submits herewith a Form PTO-1449 listing references known to Applicant and requests that these references be made of record in the above identified application. Copies of the references listed are submitted herewith in accordance with 37 C.F.R. 1.98(a).

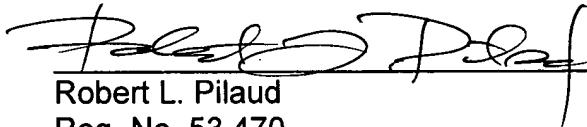
Copies of U.S. patents and U.S. publications are not enclosed in accordance with the Notice published in the Official Gazette on August 5, 2003 entitled *Information Disclosure Statements May Be Filed Without Copies of U.S. Patents and Published Applications in Patent Applications filed after June 30, 2003*, which waives the requirement under 37 CFR 1.98(a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. publication.

U.S. Patent Nos. 5,347,154; 5,486,708; 5,572,045; 5,618,739; and 5,728,591 are in the family of EP 0 486 318.

This Information Disclosure Statement is being submitted before the issuance of a first Office Action on the merits; therefore, no fee is required.

The Commissioner is hereby authorized to charge fees under 37 C.F.R. §§1.16, 1.17, 1.20(a), 1.20(b), 1.20(c), and 1.20(d) (except the Issue Fee) which may be required now or hereafter, or credit any overpayment to Deposit Account No. 50-2280. A duplicate copy of this sheet is attached.

Respectfully submitted,



Robert L. Pilaud
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Substitute for form 1449A/PTO		<i>Complete if Known</i>	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>		Application Number	10/697,941
		Filing Date	October 31, 2003
		First Named Inventor	Toru TAKAYAMA et al.
		Group Art Unit	
		Examiner Name	
Sheet	1	Attorney Docket Number	0756-7215
			

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS							
Examiner Initials ¹	Cite No. ¹	Foreign Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴ (if known)				
		EP	0 486 318		05/20/1992		Eng.
		EP	0 858 110		08/12/1998		Eng
		JP	11-243209		09/07/1999		Abst.
		JP	2001-051296		02/23/2001		Abst.
		JP	2001-217443		08/10/2001		Abst.
		JP	2002-184959		06/28/2002		Abst.

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

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Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		International Search Report, Application No. PCT/JP03/13553, February 10, 2004	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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